GMCA STANDARDS COMMITTEE

DATE: Thursday 2 November 2017
TIME: 1-2.30pm
VENUE: Boardroom, 1st Floor, Churchgate House, Oxford Street, Manchester.

AGENDA

1. Apologies
2. Declarations of Interest – please ensure you inform the Governance Officer if you have any interests on any item of the agenda in advance of the meeting.
3. Minutes of the meeting of the GMCA Standards Committee held 16 March 2017 – for information
4. Scope of Application of the GMCA Code of Conduct for Members - attached
6. GMCA Standards Committee Work Programme 2017/18 - attached
7. Any other business
8. FUTURE MEETING DATE – 15 March 2018
Membership of the GMCA Standards Committee 2017/18

Geoff Linnell (Independent Member and Chair)
Cliff Morris
Paul Dennett
Alex Ganotis
Jean Stretton
Sean Anstee

Nicole Jackson (Independent Person)

Contact officer:
Nicola Ward – Governance and Scrutiny –
Nicola.ward@greatermanchester-ca.gov.uk
GMCA Standards Committee

Declaration of Member’s Interests in Items Appearing on the Agenda

NAME: ____________________________

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<thead>
<tr>
<th>Minute Item No. / Agenda Item No.</th>
<th>Nature of Interest</th>
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Minutes of the GMCA Standards Committee Meeting held
Thursday 16 March 2017 at Manchester Town Hall

Present
Geoff Linnell Co-opted Independent Member
City Mayor Paul Dennett Salford City Council
Councillor Alex Ganotis Stockport MBC
Councillor Cliff Morris Bolton Council

Also in attendance
Nicole Jackson Independent Person
Steve Hollard Senior Lawyer
Susan Ford GMIST Policy Manager
Nicola Ward GMIST Senior Democratic Services Officer

GMSC 16/10 Welcome and Apologies
The Chair welcomed members to the GMCA Standards Committee. Apologies were received from Councillor Jean Stretton and Councillor Sean Anstee.

GMSC 16/11 Declarations of interest
There were no declarations of interest in relation to any matter on the agenda.

GMSC 16/12 Minutes of the GMCA Standards Committee meeting held 3 November 2016
Resolved /-
1. To approve the minutes of the GMCA Standards Committee held on 3 November 2016.

GMSC 16/13 Code of Corporate Governance and Code of Conduct
Members received a report which provided assurance that the 2017 GMCA Code of Corporate Governance would take into account the revised requirements of the CIPFA guidance ‘Delivering Good Governance in Local Government Framework’ as issued in 2016.

Susan Ford, Policy Manager for the GMCA reminded the committee that the GMCA had signed off the updated code in February 2017 and were now undertaking a review of the constitution in anticipation of changes to the GMCA from April 2017.
The revised code has a greater focus on social, environmental and equalities which will also have an impact on the emphasis of the Annual Governance Statement.

Once the parliamentary orders have been passed and the new powers for the GMCA and Mayor are set out there will be a requirement to update the code to detail the new arrangements.

The committee welcomed these changes and discussed how the revised code should be embedded into the work of the GMCA to ensure a visible commitment to its delivery.

There was also discussion regarding clarification as to whom the Code of Conduct applies to, and whether all members serving in a CA capacity should be captured by the code. Officers agreed to look at how the code could be clear in relation to this and ensure that there were no discrepancies. Further to this it was suggested that on appointment all elected members are given guidance as to how the code of conduct applies to their role.

The committee further asked that officers review the GMCA policies in relation to standards matters, and assurance is given as to their monitoring and review. It was suggested that best practice could be sought from other local authorities.

**Resolved /-**

1. To note the report.
2. Officers to ensure that the code is clear about which elected members are covered, and in which capacities.
3. Officers to provide guidance to all members appointed to the GMCA as to how the code of conduct applies to their role.
4. As part of the GMCA’s transition work that officers to undertake a review of the codes and protocols in the GMCA’s Constitution and provide members with an assurance map as to which bodies are responsible for their review.

**GMSC 16/14 Annual Report and Work Plan of the Committee on Standards for Public Life**

Members received a report of the GMCA Monitoring Officer which detailed the relevant information from the Annual Report of the Committee on Standards for Public Life.

Steve Hollard, Principal Lawyer for the GMCA reported that the role of the Independent Person had generally been well received, with the number of vexatious complaints to local authorities falling. However, the effectiveness of the sanctions regime remained a concern and the committee planned to continue to invite local authorities to consider whether their own standards regimes are sufficient.

A member raised the role of political whips in regards to maintaining standards on behalf of the GMCA and suggested that this role be discussed where appropriate.

**Resolved /-**
1. To note the report

**GMSC 16/15 Date of future meetings**

The Chair suggested that provisional dates be set for quarterly meetings of the GMCA Standards Committee which would be used as required.

These dates would be subject to approval from the members appointed by the GMCA at their AGM in June 2017.

**Resolved /-**

1. Provisional dates for the GMCA Standards Committee for 2017/18 are –

   Thursday 2 November 2017
   Thursday 15 March 2018
GMCA Standards Committee

Date: 2 November 2017

Subject: Scope of Application of the GMCA Code of Conduct for Members

Report of: GMCA Monitoring Officer

PURPOSE OF THE REPORT

To brief the Committee on the scope of the application of the GMCA Code of Conduct for Members.

RECOMMENDATIONS

The Committee is asked to note the report and comment on its contents.

CONTACT OFFICERS:

Name: Liz Treacy
Position: Monitoring Officer, GMCA
Telephone: 0161 234 3087
E-mail: l.treacy@greatermanchester-ca.gov.uk

Name: Stephen Hollard
Position: Principal Lawyer, Democratic Services Legal Team
Telephone: 0161 234 3336
E-mail: s.hollard@manchester.gov.uk
PRIORITY

BACKGROUND DOCUMENTS (available for public inspection):

GMCA Constitution – 30 June 2017

RISKS/IMPLICATIONS

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<th>Category</th>
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<td>Staffing</td>
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<tr>
<td>Policy</td>
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<tr>
<td>Equal Opportunities – Has a Diversity</td>
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Impact Assessment been conducted

TRACKING/PROCESS

Does this report relate to a Key Decision, as set out in the GMCA Constitution or in the process agreed by the AGMA Executive Board?

No

EXEMPTION FROM CALL IN

Are there any aspects in this report which means it should be considered to be exempt from call in by the AGMA Scrutiny Pool on the grounds of urgency?

No

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<tr>
<th>AGMA Commission</th>
<th>TfGMC</th>
<th>Scrutiny</th>
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1 Introduction

1.1 At its meeting on 16 March 2017 the Committee requested that officers provide clarification and guidance as to the extent of the application of the GMCA's Code of Conduct for Members ("the Code") to members acting in a Combined Authority role.

1.2 The Committee’s comments were taken into account when the Code was updated as part of the 30 June 2017 revision of the GMCA’s Constitution. A copy of the revised Code, as approved by the GMCA, is attached as an Appendix to this report (with bold main-body text indicating revised wording).

2 The Revised Code

2.1 The report that accompanied the revised constitution to the GMCA’s 30 June meeting summarised the revision of the Code as follows:

“8.1 The Code of Conduct for Members has been updated. There has been clarification of who the code applies to as per the recommendation of the GMCA’s Standards Committee. It now makes clear the existing statutory position that the code applies to all voting co-opted members of GMCA committees as well as to GMCA members. It is now clear that, for example, the members of the Audit Committee and any Overview and Scrutiny Committee(s) are subject to the Code.

8.2 The revised Code of Conduct for Members also invites voluntary compliance with the code by non-voting co-opted members and by elected members of Greater Manchester district councils when they act for or represent the GMCA (such as by sitting on non-decision making bodies such as the Planning and Housing Commission)."

2.2 It is to be noted that the Code only applies where a member acts in their official capacity as a member of the GMCA or a voting co-opted member of a GMCA committee (or in the case of those voluntarily subject to compliance with the Code, where they are otherwise acting on behalf of the GMCA). Where a member is acting in their capacity as a member of one of the ten constituent district councils, their conduct shall instead be measured against the code of member conduct adopted by their district.

3 Recommendations

3.1 Recommendations are set out at the beginning of this report.
Appendix

SECTION A: CODE OF CONDUCT FOR MEMBERS

Part 1 General Provisions

1  Introduction and Scope

1.1 The Greater Manchester Combined Authority is determined to promote and maintain high standards of conduct by its Members, Co-opted Members and those councillors from Greater Manchester's districts appointed to roles in which they act on behalf of the GMCA. The GMCA has adopted a Code of Conduct for Members in line with its obligations under section 27(2) of the Localism Act 2011.

1.2 This Code mandatorily applies to those acting as Members of the GMCA (including the Mayor and Substitute Members), voting Co-opted Members of the GMCA's committees or Appointed Members of Joint Committees, and references to “official capacity” are to be construed accordingly.

1.3 Compliance with this Code is a statutory requirement for those identified in paragraph 1.2. To promote good governance the GMCA strongly recommends voluntary compliance with the Code by non-voting Co-opted Members of the GMCA's committees and by elected members from Greater Manchester's ten districts when they otherwise act for or represent the GMCA. Where a member is only subject to the Code through voluntary compliance (as described in this paragraph) they will not in law be subject to the statutory obligations relating to member conduct under Chapter 7, Part 1 of the Localism Act 2011 nor can the conduct of such a member, insofar as it concerns that member's GMCA role, amount to any of the criminal offences referred to in this Code. However, the conduct of a member who has agreed to voluntarily be subject to the Code may be considered under the GMCA's arrangements for determining whether a member has breached the Code.

1.4 In this Code – ‘meeting’ means any meeting of:

- the GMCA; or
- any of the GMCA’s Committees or Sub-Committees, Joint Committees or Joint Sub-Committees.

For the purposes of this Code “Committee” includes the Fire Committee established by the Mayor.

1.5 This Code does not have effect in relation to a member's conduct other than where it is in that member's official capacity.
1.6 This Code will be reviewed every two years by the GMCA’s Standards Committee or earlier if required by a change in legislation.

2 General Principles

2.1 The Code and the associated guidance are based on the following general principles.

2.2 Members must behave according to the highest standards of personal conduct in everything they do when acting as a Member or voting Co-opted Member (or in the case of those voluntarily subject to compliance with the Code in accordance with paragraph 1.3 above, where they are otherwise acting on behalf of the GMCA). They must observe the following principles of conduct, some of which are set out in law. The seven principles of Standards in Public Life known as the Nolan Principles underpin the provisions of the GMCA’s Code of Conduct for Members. They are set out in paragraphs 2.3 to 2.9 below.

2.3 Selflessness: holders of public office should act solely in terms of the public interest. They should not do so in order to gain financial or other material benefits for themselves, their family, or their friends.

2.4 Integrity: holders of public office should not place themselves under any financial or other obligation to outside individuals or organisations that might seek to influence them in the performance of their official duties.

2.5 Objectivity: in carrying out public business, including making public appointments, awarding contracts, or recommending individuals for rewards and benefits, holders of public office should make choices on merit.

2.6 Accountability: Holders of public office are accountable for their decisions and actions to the public and must submit themselves to whatever scrutiny is appropriate to their office.

2.7 Openness: Holders of public office should be as open as possible about all the decisions and actions that they take. They should give reasons for their decisions and restrict information only when the wider public interest clearly demands.

2.8 Honesty: holders of public office have a duty to declare any private interests relating to their public duties and to take steps to resolve any conflicts arising in a way that protects the public interest.

2.9 Leadership: holders of public office should promote and support these principles by leadership and example.

2.10 Where those covered by this Code act as a representative of the GMCA:
(a) on another relevant authority, they must, when acting for that other authority, comply with that other authority’s code of conduct; or

(b) on any other body, they must comply with this Code, unless it conflicts with any other lawful obligations to which that other body may be subject.

2.11 It is an individual’s responsibility to comply with this Code. Failure to do so may result in a sanction being applied by the GMCA. A failure by a Member coming within the scope of paragraph 1.2 above to declare a Disclosable Pecuniary Interest may result in a criminal conviction and an unlimited fine and/or disqualification from office for a period of up to 5 years.

3 General Obligations for Members

3.1 You must not:-

   a. Do anything which may knowingly cause the GMCA to breach the Equality Act 2010;
   b. Bully or be abusive to any person;
   c. Intimidate or attempt to intimidate any person who is or is likely to be:
      – a complainant
      – a witness, or
      – involved in the administration of any investigation or proceedings, in relation to an allegation that a Member (including yourself) has failed to comply with the GMCA’s Code of Conduct; or
   d. do anything which compromises or is likely to compromise the impartiality of those who work for, or on behalf of, the GMCA.

3.2 You must not:

   a. Disclose information given to you in confidence by anyone, or information acquired by you which you believe, or ought reasonably to be aware, is of a confidential nature, except where:
      i. You have the consent of a person authorised to give it;
      ii. You are required to do so by law;
      iii. The disclosure is made to a third party for the purpose of obtaining professional advice, provided that the third party agrees not to disclose the information to any other person; or
      iv. the disclosure:
          – is reasonable and in the public interest; and
          – is made in good faith and in compliance with the reasonable requirements of the GMCA; or
   b. prevent another person from gaining access to information to which that person is entitled by law.

3.3 You must not conduct yourself in such a way which could reasonably be regarded as bringing your office or the GMCA into disrepute.
3.4 You:
   a. must not use or attempt to use your position as a Member improperly to confer on or secure for myself or any other person, an advantage or disadvantage; and
   b. must, when using or authorising the use by others of the resources of the GMCA:
      – act in accordance with the GMCA’s reasonable requirements;
      – ensure that such resources are not used improperly for political purposes (including party political purposes); and
   c. must have regard to any applicable Local Authority Code of Publicity made under the Local Government Act 1986.

3.5 When reaching decisions on any matter you must have regard to any relevant advice provided to you by:
   a. The GMCA’s Treasurer (section 73 officer); or
   b. The GMCA’s Monitoring Officer

   where that officer is acting pursuant to his or her personal statutory duties.

3.6 You must give reasons for all decisions in accordance with any statutory requirements and any reasonable additional requirements imposed by the GMCA.

Part 2 Disclosable Pecuniary Interests

4 Notification of Disclosable Pecuniary Interests

4.1 Within 28 days of becoming a Member or a Voting Co-opted Member (or in the case of those subject to voluntary compliance with the Code in accordance with paragraph 1.3 above, within 28 days of being appointed to a GMCA body or appointed to act as a representative of the GMCA) you must notify the Monitoring Officer of any disclosable pecuniary interests.

4.2 A ‘disclosable pecuniary interest’ is an interest of yourself, or of your partner (if you are aware of your partner’s interest), within the descriptions set out in the table below.

4.3 ‘Partner’ means a spouse or civil partner, or a person with whom you are living as husband or wife, or a person with whom you are living as if you are civil partners.

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<th>Subject</th>
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<td>Employment, office, trade, profession or vocation</td>
<td>Any employment, office, trade, profession or vocation carried on for profit or gain.</td>
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<tr>
<td>Sponsorship</td>
<td>Any payment or provision of any other financial benefit (other than from the GMCA) made or provided within the 12 month</td>
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period prior to notification of the interest in respect of any expenses incurred by you in carrying out duties as a Member, or towards your election expenses. This includes any payment or financial benefit from a trade union within the meaning of the Trade Union and Labour Relations (Consolidation Act) 1992.

| Contracts                      | Any contract which is made between you or your partner (or a body in which you or your partner has a beneficial interest) and the GMCA:  
|                               | a. under which goods or services are to be provided or works are to be executed: and,  
|                               | b. which has not been fully discharged. |
| Land                          | Any beneficial interest in land which is within the area of the GMCA |
| Licence                       | Any licence (alone or jointly with others) to occupy land in the area of the GMCA for a month or longer. |
| Corporate Tenancies           | Any tenancy where (to your knowledge) -  
|                               | a. the landlord is the GMCA: and  
|                               | b. the tenant is a body in which you or your partner has a beneficial interest. |
| Securities                    | Any beneficial interest in securities of a body where:  
|                               | a. that body (to your knowledge) has a place of business or land in the area of the GMCA; and  
|                               | b. either -  
|                               | i. the total nominal value of the securities exceeds £25,000 or one hundredth of the total issued share capital of that body; or  
|                               | ii. if the share capital of that body is of more than one class, the total nominal value of the shares of any one class in which you or your partner has a beneficial interest exceeds one hundredth of the total issued share capital of that class. |

4.4 For the purposes of the above table:

a. ‘a body in which you or your partner has a beneficial interest’ means a firm in which you or your partner is a partner or a body corporate of which you or your partner is a director, or in the securities of which you or your partner has a beneficial interest;

b. ‘director’ includes a member of the committee of management of a registered society within the meaning given by section 1(1) of the Cooperative and Community Benefit Societies Act 2014, other than a society registered as a credit union;

c. ‘land’ excludes an easement, interest or right in or over land which does not carry with it a right for you or your partner (alone or jointly) to occupy the land or receive income; and

d. ‘securities’ means shares, debentures, debenture stock, loan stock, bonds, units of a collective investment scheme within the meaning of the Financial Services and Markets Act 2000 and other securities of any description, other than money deposited with a building society.
5 Non Participation in Case of Disclosable Pecuniary Interest

5.1 If you are present at a meeting and you have a disclosable pecuniary interest in any matter to be considered or being considered at the meeting:

a. You must not participate in any discussion of at the meeting.
b. You must not participate in any vote taken on the matter at the meeting.
c. If the interest is registered you must disclose the interest to the meeting (as required by GMCA Procedure Rule 16.3).
d. If the interest is not registered, you must disclose the interest to the meeting.
e. If the interest is not registered and not the subject of a pending notification, you must notify the GMCA’s Monitoring Officer of the interest within 28 days.
f. You must also withdraw from the meeting while the matter is being considered (as required by GMCA Procedure Rule 16.4).

6 Offences

6.1 It is a criminal offence for a Member coming within the scope of paragraph 1.2 above to:

a. Fail to notify the GMCA’s Monitoring Officer of any disclosable pecuniary interest within 28 days of appointment as a Member of the GMCA or a voting Co-opted Member;
b. Fail to disclose a disclosable pecuniary interest at a meeting if it is not on the GMCA’s Register;
c. Fail to notify the GMCA’s Monitoring Officer within 28 days of a disclosable pecuniary interest that is not on the GMCA Register that you have disclosed to a meeting;
d. Participate in any discussion or vote on a matter in which you have a disclosable pecuniary interest;
e. Knowingly or recklessly provide information that is false or misleading in notifying the GMCA’s Monitoring Officer of a disclosable pecuniary interest or in disclosing such interest to a meeting.

6.2 The criminal penalties available to a court are to impose an unlimited fine and disqualification from being a Member of Co-opted Member for up to 5 years.

Part 3 Other Interests

7 Notification of Personal Interests

7.1 In addition to the disclosable pecuniary interests notifiable under the Localism Act 2011, you must within 28 days of your election or appointment to office notify the GMCA’s Monitoring Officer in writing of the details of your other personal interests, where they fall within the categories set out in paragraph 7.2 below for inclusion in the register of interests.
7.2 You have a personal interest in any business of the GMCA where it relates to or is likely to affect:

   a. Any body of which you are in a position of general control or management and to which you are appointed or nominated by the GMCA;

   b. any body of which you are in a position of general control or management which
      i. exercises functions of a public nature;
      ii. is directed to charitable purposes; or
      iii. one of whose principal purposes includes the influence of public opinion or policy (including any political party or trade union),

   c. the interests of any person from whom you have received a gift or hospitality with an estimated value of at least £100.

7.3 You also have a personal interest in the business of the GMCA where a decision might reasonably be regarded as affecting your well-being or financial position or the well-being or financial position of a relevant person to a greater extent than the majority of other council tax payers, ratepayers or inhabitants of the electoral ward(s), affected by the decision.

7.4 A relevant person is:

   a. a member of your family or any person with whom you have a close association; or

   b. any person or body who employs or has appointed such persons, any firm in which they are a partner, or any company of which they are directors;

   c. any person or body in whom such persons have a beneficial interest in a class of securities exceeding the nominal value of £25,000; or

   d. any body of a type described in paragraph 7.2 a. or b.

7.5 Subject to paragraphs 7.6 to 7.8 below, where you have a personal interest described in paragraph 7.2 or 7.3 above in any business of the GMCA, and you are aware or ought reasonably to be aware of the existence of that personal interest, and you attend a meeting at which the business is considered, you must disclose to the meeting the existence and nature of that interest at the commencement of that consideration, or when the interest becomes apparent.

7.6 Where you have a personal interest in any business of the GMCA which relates to or is likely to affect a body described in paragraph 7.2 a. or b.i, you need only disclose to the meeting the existence and nature of that interest when you address the meeting on that business.

7.7 Where you have a personal interest in any business of the GMCA of the type mentioned in paragraph 7.2 c, (gifts and hospitality) you need not disclose the nature or existence of that interest to the meeting if the interest was registered more than three years before the date of the meeting.
7.8 Where you have a personal interest but, by virtue of paragraph 11, sensitive information relating to it is not registered in the GMCA’s Register of Members’ Interests, you must indicate to the meeting that you have a personal interest, but need not disclose the sensitive information to the meeting.

7.9 Where you have a personal interest in any business of the GMCA and you have made an individual decision in relation to that business, you must ensure that any written statement of that decision records the existence and nature of that interest.

8 Non Participation In Case of Prejudicial Interest

8.1 Where you have a personal interest in any business of the GMCA you also have a prejudicial interest in that business where the interest is one which a member of the public with knowledge of the relevant facts would reasonably regard as so significant that it is likely to prejudice your judgement of the public interest and where that business:

a. affects your financial position or the financial position of a person or body described in paragraph 7.4; or
b. relates to the determining of any approval, consent, licence, permission or registration in relation to you or any person or body described in paragraph 7.4.

8.2 Subject to paragraphs 8.3 and 8.4, where you have a prejudicial interest in any business of the GMCA:

a. You must not participate in any discussion of the matter at the meeting.
b. You must not participate in any vote taken on the matter at the meeting.
c. You must disclose the interest to the meeting.
d. If the interest is not registered and not the subject of a pending notification, you must notify the GMCA’s Monitoring Officer of the interest within 28 days.
e. You must withdraw from the meeting while the matter is being considered (as required by GMCA Procedure Rule 16.4).

8.3 Where you have a prejudicial interest in any business of the GMCA, you may attend a meeting but only for the purpose of making representations, answering questions or giving evidence relating to the business, provided that the public are also allowed to attend the meeting for the same purpose, whether under a statutory right or otherwise and you leave the room where the meeting is held immediately after making representations, answering questions or giving evidence.

8.4 Subject to you disclosing the interest at the meeting, you may attend a meeting and vote on a matter where you have a prejudicial interest that relates to the functions of the GMCA in respect of:

a. school transport and travelling expenses, where you are a parent or guardian of a child in full time education, or are a parent governor of a
school, unless it relates particularly to the school which the child
attends;
b. statutory sick pay under Part XI of the Social Security Contributions and
Benefits Act 1992, where you are in receipt of, or are entitled to the
receipt of, such pay; and
c. any ceremonial honour given to Members.

8.5 If you are making a decision or discharging a function alone, and you become
aware of a prejudicial interest in a matter being dealt with or to be dealt with by
you, you must notify the Monitoring Officer of the interest and must not take any
steps or further steps in the matter, or seek improperly to influence a decision
about the matter.

9 Interests Arising in Relation to Overview and Scrutiny
Committees

9.1 In any business before an Overview and Scrutiny Committee of the GMCA (or
of a Sub-Committee of such a Committee) where:

a. that business relates to a decision made (whether implemented or not)
or action taken by the GMCA or another of the GMCA’s Committees,
Sub-Committees, Joint Committees or Joint Sub-Committees; and
b. at the time the decision was made or action was taken, you were a
Member of the GMCA, Committee, Sub-Committee, Joint Committee or
Joint Sub-Committee mentioned in paragraph (a) and you were present
when that decision was made or action was taken,
you may attend the meeting of the Overview and Scrutiny Committee (or Sub-
Committee) for the purpose of explaining the reasons for the decision, or
answering questions or giving evidence relating to the business, but you cannot
participate otherwise in the discussion or in any vote on the matter.

Part 4 General Matters Relating To Parts 2 And 3

10 Register of Interests

10.1 Subject to paragraph 11 any disclosable pecuniary interests or personal
interests notified to the GMCA’s Monitoring Officer will be included in the
GMCA’s Register of interests. A copy of the Register will be available for public
inspection and will be published on the GMCA’s website.

11 Sensitive Interests

11.1 This applies where you consider that disclosure of the details of a disclosable
pecuniary interest could lead to you, or a person connected with you, being
subject to violence or intimidation, and the GMCA’s Monitoring Officer agrees.
In these circumstances, if the interest is entered on the GMCA’s Register of
interests, copies of the Register that are made available for inspection and any
published version of the Register will exclude details of the interest, but may state that you have a disclosable pecuniary interest, the details of which are withheld under Section 32(2) of the Localism Act 2011.

12 Dispensations

12.1 The GMCA may grant you a dispensation, but only in limited circumstances, to enable you to participate and vote on a matter in which you have a disclosable pecuniary interest or a prejudicial interest.
GMCA Standards Committee

Date: 2 November 2017


Report of: Liz Treacy Monitoring Officer to the GMCA.

PURPOSE OF THE REPORT

To provide the Committee with the Annual Report of the Committee on Standards in Public Life 2016-2017 and Forward Plan 2017-2018

RECOMMENDATIONS


2. That Members consider whether the GMCA should take any action arising from the content of the Annual Report and Forward Plan.

CONTACT OFFICERS:

Name: Liz Treacy
Position: Monitoring Officer GMCA
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E-mail: l.treacy@manchester.gov.uk

Name: Ian Mark
Position: Senior Lawyer Manchester City Council
Telephone: 0161 234 5378
E-mail: i.mark@manchester.gov.uk

**BACKGROUND DOCUMENTS (available for public inspection):**

None

**RISKS/IMPLICATIONS**

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<td>Equal Opportunities – Has a Diversity Impact Assessment been conducted</td>
<td>None</td>
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**TRACKING/PROCESS**

| Does this report relate to a Key Decision, as set out in the GMCA Constitution or in the process agreed by the AGMA Executive Board | No |

**EXEMPTION FROM CALL IN**

| Are there any aspects in this report which means it should be considered to be exempt from call in by Scrutiny on the grounds of urgency? | No |

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<th>AGMA Commission</th>
<th>TIGMC</th>
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1 Introduction

1.1 The Committee on Standards in Public Life (‘the Committee) is an independent advisory non-departmental public body (NDPB). The Committee monitors, reports and makes recommendations on all issues relating to standards in public life. This includes not only the standards of conduct of holders of public office, but all those involved in the delivery of public services.

The Committee’s full terms of reference are set out on page 16 of the Annual Report, which is attached as an Appendix to this report.

1.2 The Committee’s strategic objectives are to;

- identify areas of concern in terms of conduct and behaviour before they develop into activities that could result in a breach of public trust.
- undertake inquiries and use the research gathered to develop evidence based practical recommendations to help maintain / improve ethical standards.
- make informed contribution to public debates about ethical standards, including submissions to public consultations;
- proactively identify and response to emerging ethical risk and collaborate with partners to develop the ethical standards agenda.

1.3 In July 2017, the Committee published its Annual Report for 2016-17, together with a Forward Plan for 2017-18. Pages 5-12 of the Annual Report provide an overview of the activities undertaken by the Committee during 2016-17 and include;

- maintaining a watching brief over local government standards, engaging with key stakeholders to identify areas of concern in relation to the conduct of elected and co-opted members of local authorities.
- submission of evidence to Commons Community and Local Government Select Committee on local government scrutiny, drawing attention to findings from the 2015 report ‘Tone from the top – leadership, ethics and accountability in policing’ including; accountability being essential to create a culture where high standards of behaviour are the norm, and the necessity for effective, independent scrutiny being require between the four yearly election cycle to maintain accountability.
- examining areas of conduct of ‘all those involved in the delivery of public services’, not solely those appointed or elected to public office.
- the publication of ‘Striking the Balance: Upholding the Seven Principles of Public Life in Regulation, ethical standards for regulatory bodies’;
- a review of conduct in referendums following the EU membership referendum, which resulted in public concerns being raised;
- submissions made to assist the Culture, Media and Sport Select Committee’s inquiry into the impact of ‘fake news’ on democracy;
the publication of research into public attitudes to political party funding, exploring public confidence in the integrity in such funding;
the committee also conducted work in relation to; MPs’ outside interests; MPs' business costs and expenses; and the induction of MPs;

1.4 The Committee’s Forward Plan provides that the Committee will undertake the following activities during 2017/18:

- undertake research and engage with partners in relation to local government standards. This review will be based on the consultation to be launched in early 2018, with the findings and recommendations being published in 2018;
- undertake follow up research on the 2014 report and 2015 guidance on ‘Ethical Standards for Providers of Public Services’. The findings will be published in late 2017;
- undertake work to assess the impact of social media and how such developments are shaping public life;
- maintain a watching brief to keep abreast of standards issues before and whilst they are emerging, responding appropriately;
- further engagement with stakeholders in relation to the funding of political parties;
- the review of MP’s outside interests will be published in late 2017;
- maintain a watchful brief over how the conduct of referendums and their campaigns may be improved for future referendums.

Recommendations:-

1. That Members note the Annual Report and Forward Plan of The Committee on Standards in Public Life.

2. That Members consider whether the GMCA should take any action arising from the content of the Annual Report and Forward Plan.
Setting the Standard

Strategic Plan

Annual Report 2016-17

Forward Plan 2017-18
THE SEVEN PRINCIPLES OF PUBLIC LIFE

The Seven Principles of Public Life apply to anyone who works as a public office holder. This includes all those who are elected or appointed to public office, nationally and locally, and all people appointed to work in the civil service, local government, the police, courts and probation services, NDPBs, and in the health, education, social and care services. All public office holders are servants of the public and stewards of public resources. The Principles also apply to all those in other sectors delivering public services.

SELFLESSNESS

Holders of public office should act solely in terms of the public interest.

INTEGRITY

Holders of public office must avoid placing themselves under any obligation to people or organisations that might try inappropriately to influence them in their work. They should not act or take decisions in order to gain financial or other material benefits for themselves, their family, or their friends. They must declare and resolve any interests and relationships.

OBJECTIVITY

Holders of public office must act and take decisions impartially, fairly and on merit, using the best evidence and without discrimination or bias.

ACCOUNTABILITY

Holders of public office are accountable to the public for their decisions and actions and must submit themselves to the scrutiny necessary to ensure this.

OPENNESS

Holders of public office should act and take decisions in an open and transparent manner. Information should not be withheld from the public unless there are clear and lawful reasons for so doing.

HONESTY

Holders of public office should be truthful.

LEADERSHIP

Holders of public office should exhibit these principles in their own behaviour. They should actively promote and robustly support the principles and be willing to challenge poor behaviour wherever it occurs.

The Seven Principles were established in the Committee’s First Report in 1995; the accompanying descriptors were revised following a review in the Fourteenth Report, published in January 2013.
I am delighted to present the Committee on Standards in Public Life’s Strategic Plan, Annual Report 2016-2017, and Forward Plan 2017-18. In this document the Committee sets out its purpose and focus, and identifies our strategic objectives and how we intend to achieve them.

Since its creation in 1994, the Committee has made recommendations for reform to uphold the highest standards of ethical conduct across public life. These recommendations shape how we talk and think about ethical standards: the Seven Nolan Principles are the widely accepted cornerstone of ethical standards for people working across all areas of public life.

The purposes of the Committee are clear: we examine areas of concern about the standards of conduct of public office holders and promote the highest standards of conduct across public life. To fulfil this remit, we develop well-argued, evidence-based, practical recommendations to help bolster ethical standards. We undertake tailored and substantial research projects focused on addressing particular areas of public life. We are also alert, proactively identifying and responding to emerging ethical risks, and listening to the public and a wide range of organisations to remain responsive to emerging risks in standards.

In 2016-17, we considered issues across public life from regulatory bodies to referendums, and party funding to induction for new MPs, to reinforce the importance of the Nolan Principles and emphasise their relevance in fast-changing times. In September 2016 we launched our report on regulators, *Striking the Balance - Upholding the Seven Principles of Public Life in Regulation*. In 2017-18 we will publish the findings of our current review on ‘reasonable limits’ for MPs’ outside interests, and work with a wide range of audiences to address other standards issues.

The new Parliament provides an opportunity for everyone in public life to reflect on their standards of conduct, both what they do well and what can be improved. As the process of negotiating and scrutinising the UK’s departure from the EU begins, honesty and integrity in political discourse has never been more important. We call on all public office holders to consider how they can incorporate the Seven Principles into their daily work to promote public trust and confidence as we face the challenges ahead.

Finally, I extend my warmest thanks to our departing Committee members. Dame Angela Watkinson DBE and Richard Thomas CBE have both made invaluable contributions to the Committee. Richard’s contribution to *Striking the Balance* in particular was fundamental to the report’s success. Their insightful and thoughtful contributions to our work will be sorely missed and we wish them both well in their future endeavours.

Lord Bew

Chair
August 2016

We publish research by Dr Michael Pinto-Duschinsky on party funding expenditure from 2011 to 2016.

September 2016

We launch our report on regulators - Striking the Balance: Upholding the Seven Principles of Public Life in Regulation, which identifies a series of ‘best practice’ benchmarks for regulators and makes recommendations to the government.

Jane Ramsey joins the Committee.

October 2016

We submit evidence to the Independent Parliamentary Standards Authority’s review of the MPs’ Scheme of Business Costs and Expenses.

November 2016

The Committee hosts a seminar on the conduct of referendums, which brings together a range of interested stakeholders from politics, the media, regulators and academia.

Lord Bew presents the research commissioned by the Committee into party funding in the House of Lords.

December 2016

The Rt Hon Lord Stunell OBE joins the Committee as the Liberal Democrat member.

January 2017

Working paper on the conduct of referendums is published on our website, along with video footage of the event.

Dr Jane Martin CBE joins the Committee.

February 2017

We issue our follow-up survey on the Striking the Balance report to regulatory bodies.

We hold meetings with Public Service Providers, as part of the follow-up research to our 2014 report and 2015 guidance.

March 2017

The Committee announces a short review on the subject of MPs’ Outside Interests, and invites public submissions. The review is focused on the ‘reasonable limits’ for MPs’ outside interests.

We make a submission to the Commons Culture, Media and Sport Committee’s inquiry into the impact of ‘fake news’ on modern democracy.

April 2017

We consider the submissions made to our on-going short review on MPs’ Outside Interests.

May 2017

The Committee does not make any public statements during the pre-election period.

June 2017

After the 2017 General Election, we deliver a session on the Seven Principles and maintaining ethical standards as part of the House Authorities’ induction for new MPs.
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1. Strategic Plan

Our strategic purpose and focus

The Committee was established in 1994 with a clear purpose: to examine areas of concern about the standards of conduct of public office holders, advise the Prime Minister accordingly, and to promote the highest standards of conduct across public life.

1.1 Over the lifetime of this strategic plan, our vision is to reinforce clear expectations across public life of excellent ethical standards. Our remit extends to all those holding public office, and those providing public services. As a standing committee we have a constant presence, which enables us to monitor progress on different issues (including our own recommendations) over time. It also enables us to respond in a timely way when concerns arise.

1.2 The maintenance of high standards in public life is important for the good functioning of society as a whole, helps maintain public trust in institutions, and is important for democracy. High standards of conduct underpin public confidence in every aspect of public life, from the delivery of health and social care services to education, policing and legislation.

1.3 The Committee is uniquely placed to consider the ‘ethics landscape’ as a whole, and will work to serve the public by striving to ensure the Nolan Principles are understood and embedded in public life.

1.4 It is a wide landscape, so we are committed to working with others to ensure this vision is met. We will identify particular areas of concern and consider those issues in more depth.

Our strategic objectives

1.5 The Committee will:

- **Identify areas of concern** in terms of conduct and behaviours before they develop into activities which could lead to a breach of public trust

- Undertake balanced, comprehensive inquiries, based on robust and effective research. We will use the research gathered in these inquiries to develop **evidence-based, practical recommendations** to help maintain or improve ethical standards across the public sector;

- We will make **informed contributions to public debates** about ethical standards, including submissions to public consultations and on-going inquiries by parliamentary committees;

- We will be alert, **proactively identifying and responding** to emerging ethical risks and engaging with a wide range of partners to develop the ethical standards agenda.

During this timeframe in particular there is a heightened requirement for **honesty and integrity in political discourse**, especially during the process of negotiating and scrutinising the **UK’s departure from the EU**. We will keep a close watching brief on standards of conduct around these issues.
1.6 We are committed to ensuring that we continue to be an effective, efficient organisation delivering value for money.

Measuring our effectiveness

1.7 As an advisory body with no statutory powers to enforce our recommendations, it is not always easy to measure our impact directly. Our effectiveness depends on our ability to build powerful arguments using research, and evidence which convinces others to take forward our recommendations for change.

1.8 The following indicators help to gauge our performance. We will refine and elaborate on these during the life of this strategic plan in the light of experience:

- Delivering well-researched, balanced reports which identify ways to improve and maintain ethical standards in the public sector, together with other proactive outputs as specific issues arise;
- Making practical recommendations which are evidence-based and persuasive;
- Maintaining our own openness and accountability to the public (including our website, public consultations and research surveys); and
- Working with key stakeholders and partners through seminars and meetings.

Setting priorities and work balance

1.9 We anticipate that the majority of our time will be spent on our proactive work i.e. our substantive inquiries, research and follow-up which enable us to make a contribution from our unique vantage point.

1.10 We will also continue to make submissions to Select Committee inquiries and other consultations so that we can collaborate with others on important issues. We will use resources effectively to ensure that time spent responding to inquiries and consultations initiated by others does not crowd out priority work.

1.11 Should any immediate and pressing issues arise, we would rearrange our priorities as necessary.

1.12 The Committee aims to make valid, evidence-based contributions to inform and stimulate public debate; this relies on consultation with other bodies and research organisations. We will continue to bring together key stakeholders for thoughtful and engaging seminars.

1.13 We will ensure that our reports and reactive contributions draw together reliable evidence with regard to the principles of public life.

1.14 The Committee recognises the social media and technological changes that have substantially altered the relationship between the public and public office holders. We must seek to meet the challenge of maintaining relevance, communicating with the public appropriately, and responding quickly to new issues as they arise, whilst still making measured and evidence-based contributions to any debate.
Selection of inquiries

1.15 The choice and scope of our inquiries is informed by our assessment of the importance of the issue, the scope for the Committee to make a distinctive and authoritative contribution, and its potential impact.

1.16 In each inquiry we set out to make recommendations or identify areas of best practice which will encourage the highest standards of propriety in public life. We will continue our practice of following-up our recommendations to monitor our impact.

Evidence gathering

1.17 The Committee will use appropriate methods to gather evidence, depending on the subject in hand. These may include:

- One-to-one meetings with stakeholders;
- Roundtables, seminars and workshops;
- Undertaking public consultations;
- Independent surveys initiated by the Committee, and submitting questions to panel surveys;
- Attending external events;
- Desk-based research;
- Commissioning academic research, including from the Economic and Social Research Council (ESRC) CASE studentship at the University of Nottingham; and
- Consulting with the Committee’s Research Advisory Board.

Taking opportunities for our voice to be heard

1.18 In addition to inquiries and monitoring standards issues, we will take the lead and ensure our voice is heard promoting high ethical standards, including by:

- Advising the Prime Minister, ministers and others on key issues;
- Contributing to Select Committees’ inquiries in both Houses;
- Ensuring our reports are shared with the appropriate audiences;
- Participating in conferences, seminars and workshops;
- Writing articles and delivering speeches to communicate our key messages;
- Speaking to the media; and
- Communicating with the public and partners through our website, blogs and social media presence.
Monitoring wider standards issues

1.19 The Committee is not complacent, and ethical issues have not gone away, so to fulfil our remit to consider ethical standards across the public sector we will:

- Maintain a **watching brief** to identify emerging or persistent ethical standards issues, and be quick to highlight their significance to those best placed to respond;
- Work with partners to undertake quantitative and qualitative **research** into public perceptions of ethical standards; and
- Respond to **consultations**, key policy announcements and legislation where these impact on ethical standards and we have an informed contribution to make.

Communications

**We are fully committed to openness in all our activities. We will ensure that we communicate our work effectively, making it visible to everyone with an interest in ethical standards.**

1.20 We will ensure that our website provides an effective means of communicating our views and activities, as well as full corporate transparency data including:

- Details of our external meetings;
- Committee meeting agendas and minutes;
- Quantitative data and evidence received; and
- The Committee’s register of interests.

1.21 We will make full use of blogging, Twitter and other social media platforms.

Using our resources to best effect

1.22 The Committee will continue to exercise efficiency, including in the following ways:

- **Research**: For research into public attitudes to ethical standards, our Research Advisory Board will continue to add questions to panel surveys being undertaken by other organisations, rather than prioritise stand-alone pieces of quantitative research.
- **Interactions with stakeholders**: We will think creatively about how to ensure we are accessible in an efficient, cost-effective way, for example by issuing online consultation papers and surveys, holding roundtables and conducting interviews locally.
- **Administrative processes**: All services (including travel, accommodation, IT and human resources) are obtained wherever possible through the Cabinet Office to benefit from economies of scale.
2. Overview of Activities 2016-17

2.1 We have delivered against our 2016-17 forward plan and gone further.

Striking the Balance: Upholding the Seven Principles of Public Life in Regulation

2.2 In September 2016 we launched our report, *Striking the Balance: Upholding the Seven Principles of Public Life in Regulation*, on ethical standards for regulatory bodies. This report identified a series of ‘best practice’ benchmarks for regulators and made recommendations to the Government on how regulatory bodies can be supported to uphold the Seven Principles. The Committee grouped its best practice recommendations for regulatory bodies into six key areas, so that all regulatory bodies can check the approach of their own organisation against the ethical standards they should be upholding.

2.3 Our report on this topic was not prompted by any particular event, but constituted a ‘health-check’ of the way in which regulators manage ethical issues in their own organisations. We were interested in the extent to which the unique characteristics of regulators create or demand any specifically tailored ethical solutions.

2.4 The report was based on extensive research including face-to-face interviews with representatives of regulatory bodies, a survey of regulators, three roundtables, commissioned academic work, and desk research. The Committee was broadly reassured by its findings. During the course of the review, however, we came across some variation in ethical standards which caused us some concern. The Committee hopes this report will be considered by all public bodies which perform a regulatory function, and provide a benchmark for these organisations.

2.5 The report was published alongside a blog from Committee Member Richard Thomas which emphasised how important the issue of high ethical standards for regulatory bodies will be in the UK post-Brexit. A blog by Dr Sarah Devaney provided her thoughts on how best practice can be adopted in healthcare regulation. A further blog by Richard Thomas in February 2017 addressed news stories around that time about the ‘revolving door’ between regulatory bodies and the sector they regulate.

2.6 In Spring 2017, the Committee issued a follow-up survey to all regulatory bodies to see how our report has been used by regulators, and to see if there has been any progress within regulatory bodies in line with the report’s recommendations. We will report on the findings from this survey in late 2017.
The Conduct of Referendums

2.7 There have been four referendums in the last five years: Welsh devolution (2011); the Westminster electoral system (2011); Scottish independence (2014); and EU membership (2016). These have tested the legislative framework for referendums put in place in 2000. The Committee has maintained an interest in the conduct and operation of referendums.

2.8 After the 2016 referendum on the UK’s membership of the EU, members of the public wrote to the Committee to express their concern about how the referendum was conducted. The Committee wrote to the Public Administration and Constitutional Affairs Committee as part of their evidence collection for their inquiry into lessons learned from the EU referendum 2016 to inform them that we intended to host a seminar on this topic.

2.9 On 22 November 2016, we held a joint seminar with University College London’s Constitution Unit on the impact of referendums in the UK.

2.10 The Committee invited individuals from a range of disciplines and positions to a broad discussion around the themes of:

- What are the problems?
- How are referendums in the UK conducted?
- Can we draw lessons from elsewhere?
- What ideas are worth pursuing in the future?

2.11 A transcript of the seminar was published on our website in December 2016 and a working paper was published online in January 2017. This event, and the follow-up work, provided a fruitful platform for discussion on the issues surrounding the conduct of referendums across a range of stakeholders.

2.12 Following on from this seminar, we have been involved in regular meetings with relevant regulatory bodies and broadcasting representatives to discuss how referendums can be conducted in a way in which fosters public trust. We will continue to engage in this issue in the year ahead.

‘Fake News’

2.13 The Committee is concerned with upholding the Nolan Principles across public life. These principles include integrity, honesty and leadership. As such, the Committee has been paying close attention to the developments in ‘fake news’.

2.14 In March 2017, we made a submission to the House of Commons Culture, Media and Sport Select Committee’s inquiry into the impact of ‘fake news’ on modern democracy. In the submission we expressed our concern that if the publication of ‘fake news’ is tolerated to the extent that it became commonplace, there would inevitably be grave consequences for public attitudes, democratic processes and for the conduct of public life.
2.15 This submission was published alongside a blog by Committee member Richard Thomas, which discussed whether ‘fake news’ was having a negative impact on public trust in politics and political institutions.

Party Funding

2.16 The Committee has maintained a long-standing interest in the subject of party funding and our 2011 report on party funding came to prominence again in 2016 when the House of Lords appointed a Select Committee to consider the impact of clauses 10 and 11 of the Trade Union Bill in relation to our 2011 report: Political Party Finance: Ending the Big Donor Culture.

2.17 In February 2016, our Chair, Lord Bew, gave oral evidence to this Select Committee, at which he emphasised the changes in the landscape of party funding in Britain and committed to undertake further work on this topic.

2.18 Accordingly, in August 2016, the Committee published research by Dr Michael Pinto-Duschinsky which was commissioned by the Committee and updated his 2011 analysis of British party and parliamentary candidate expenditure and funding. This analysis provided an insight into the changing structure of British party funding over the past five years.

2.19 To coincide with the publication of that research, the Committee wrote to the leaders of the major political parties in Great Britain to encourage the exploration of a package of ‘small reforms’ which could gain cross-party consensus, to try to build public confidence in the integrity of the funding of political parties.

2.20 In September 2016, we published research on public attitudes to party funding by Dee Goddard which was commissioned by the Committee. This analysis of data from the British Election Study suggests that the public thinks that the issue of party funding is important, but they are much less clear about what should be done about this.

2.21 Lord Bew presented both of these pieces of research in the House of Lords in November 2016. In March 2017, Lord Bew also spoke in the Second Reading on Lord Tyler’s Private Member’s Bill on party funding and expenditure. Lord Bew raised the issues of third party funding, how developments in social media campaigning are impacting on party funding and spending patterns, as well as the lack of transparency in the funding of parties in Northern Ireland.

Local Government Standards

2.22 As detailed in the 2015-16 Forward Plan, the Committee maintains a watching brief on local government standards, and regularly receives correspondence on the issue. We have begun to
engage with key stakeholders in identifying areas of concern to the Committee surrounding the conduct of elected and co-opted local authority members.

2.23 In March 2017 the Committee submitted evidence to the Commons Communities and Local Government Select Committee on local government scrutiny. In this submission we drew attention to the findings from our 2015 report Tone from the top - leadership, ethics and accountability in policing.

2.24 This submission set out how accountability is an essential element in creating a culture where high standards of behaviour are the norm. In particular, effective, independent scrutiny is required in between the four-yearly election cycle to maintain accountability. We encourage the new Communities and Local Government Select Committee to take up this issue in the new Parliament.

2.25 In our 2013 report Standards Matter, we outlined our concerns at the time about the issues that may arise in local government standards following the Localism Act of 2011:

‘The new, slimmed down arrangements have yet to prove themselves sufficient for their purpose. We have considerable doubt that they will succeed in doing so and intend to monitor the situation closely’.

2.26 The Committee intends to undertake a review of local government standards during 2017-18. Further details regarding this are set out in the 2017-18 Forward Plan (p. 19).

Ethical Standards for Providers of Public Services

2.27 In 2013 our remit was extended to examine standards of conduct of ‘all those involved in the delivery of public services, not solely those appointed or elected to public office.’ As part of this remit, the Committee published a report in 2014 entitled Ethical standards for providers of public services, which made a number of recommendations to Government to ensure that proportionate ethical standards are made explicit in commissioning, contracting and monitoring arrangements for all those delivering public services.

2.28 In 2015, the Committee published online guidance addressed to providers of public services – whether outsourced or in-house – to promote high ethical standards. This guidance included practical examples and case studies for service providers.

2.29 Three years on from the Committee’s original research phase on this subject, we decided to undertake some follow-up research. The aim of this follow-up work is to examine whether there have been changes in how providers uphold ethical standards since our 2014 report, and assess how providers have used our guidance. We will also be looking at how Government has responded to our recommendations for transparent commissioning based on ethical expectations.

2.30 Sheila Drew Smith, the lead Committee member on this work, delivered a speech to the CIPFA Annual Governance and Counter Fraud Summit in October 2016. In the speech we emphasised the importance of upholding the Seven Principles to promote public trust and demonstrate a commitment to good governance.
2.31 In January 2017, we wrote to the Public Accounts Select Committee to draw their attention to our 2014 report and 2015 guidance when considering evidence for their inquiry into the Crown Commercial Service. During 2017, the Committee has undertaken a number of interviews with relevant organisations, and we will publish our findings in a short report later in 2017.

**MPs’ Outside Interests**

2.32 After maintaining a watching brief on matters surrounding parliamentary standards for many years, in March 2017 the Committee launched a short review on the subject of MPs’ outside interests.

2.33 The Committee last looked at this issue as part of its inquiry into MPs’ expenses in 2009 and recommended that:

- MPs should remain free to undertake some paid activity outside the House of Commons, provided it is kept within reasonable limits and there is transparency about the nature of the activity and the amount of time spent on it;
- Consideration should be given to ways of increasing the accessibility and usability of the Register of Members’ Financial Interests;
- MPs should be required to register positions of responsibility in voluntary or charitable organisations, even if unpaid, together with an indication of the amount of time spent on them; and
- All candidates at parliamentary elections should publish, at nomination, a register of interests including the existence of other paid jobs and whether they intend to continue to hold them, if elected.

2.34 The Committee decided to make a further submission on ‘reasonable limits’ for outside interests to the Commons Committee on Standards, which holds responsibility for reviewing the Code of Conduct for MPs. We had previously given oral evidence to the Parliamentary Commissioner for Standards’ review of the Code in March 2016. In January 2017 we followed this with a submission of written evidence, which included the recommendation that a rule should be introduced which states that outside employment should not conflict with responsibilities under the Code of Conduct.

2.35 The work programme for this report has been delayed by the 2017 General Election, and the pre-election period restrictions for public bodies. We will hold a seminar to discuss the themes surrounding on MPs’ outside interests in September, and report on this subject in Autumn 2017.

**MPs’ Business Costs and Expenses**

2.36 Further to our review of MPs’ outside interests, the Committee maintains a keen interest in parliamentary standards more broadly. As part of this, in October 2016 the Committee submitted evidence to the Independent Parliamentary Standards Authority’s (IPSA) review of the MPs’ Scheme of Business Costs and Expenses.
2.37 This submission focused on four key areas:

- The fundamental principles of the scheme;
- Employment of connected parties and financial support to MPs’ families;
- The boundary between parliamentary and party political activity; and
- Questions about IPSA’s publication policy.

2.38 Political members of the Committee were advised to abstain from contributing to this submission.

2.39 The revised scheme was published in March 2017 and the Committee welcomed in particular IPSA’s decision to end payment to new ‘connected parties’.

**Induction for MPs**

2.40 In the Committee’s first report Lord Nolan wrote:

‘More needs to be done to promote and reinforce standards of conduct in public bodies, in particular through guidance and training, including induction training’

2.41 The Committee has long been a strong advocate of induction training on ethical standards for public office holders. As such, we have been regularly involved in ethical induction sessions for parliamentarians. These have included the induction of 93% of the new MPs elected in 2015, and new Peers appointed in 2016.

2.42 Following the successes in the turnout and response to these induction events, most recently we were involved in the induction of the 99 new MPs elected in 2017. We emphasised to new members the importance of testing all assumptions and behaviour against the Seven Principles of Public Life and encouraged the new MPs to seek advice on ethical standards issues.

**House of Lords**

2.43 In December 2016, we submitted evidence to the Public Administration and Constitutional Affairs Committee’s inquiry into the House of Lords.

2.44 In the submission, we sought to demonstrate how the behaviour and nature of both Houses of Parliament can have a cumulative effect on the public’s perception of standards in public life. In particular, the Committee emphasised that the public’s perception of the way Peers are appointed could tarnish the reputation of the House and lead to concern about the cost and function of the second chamber. We also outlined the importance of the House of Lords’ Code of Conduct, and the need for the ethical induction and training for everyone in public life.

**Public Appointments**

2.45 The Committee’s first report under Lord Nolan made recommendations to guard against patronage in public appointments and recommended the creation of an independent Commissioner to oversee a fair and open appointment process for these posts. Following the 2016 Grimstone Review of the Public Appointments Process, the Committee expressed some concern about the cumulative impact of the package of proposed reforms. In 2016 the
Government published its new governance code for public appointments which took effect on 1 January 2017.

2.46 In March 2017, Peter Riddell, the Commissioner for Public Appointments (appointed in April 2016) gave a presentation to the Committee. While we were pleased to note that the Commissioner secured some important changes to the rules on public appointments during his discussions with the Government, we believe the new regime will require continued monitoring and review.
3. Representation, Speeches and Communication 2016-17

3.1 The Committee continues to maintain an international profile in the field of standards promotion by exemplifying an effective principles-based approach to standards in public life. As has been the case in previous years, the Committee has found that the UK has a high international reputation in such matters and many other countries wish to learn from our experience. The Committee will continue to host international delegations, visiting civil servants, scholars and students to explain how the standards framework operates in the UK. The Committee will also continue to contribute to the research base on standards, trust and compliance, both by working with national and international institutions and scholars, and by conducting in-house research.

3.2 Over the course of the year, the Committee’s Chair, members and Secretariat have spoken at a number of events on standards issues, promoting the work of the Committee and the importance of the Seven Principles of Public Life and providing other examples of best practice, including:

- 15/09/2016 - Cayman Island Government Delegation
- 04/10/2016 - CIPFA Governance Summit
- 18/10/2016 - General Pharmaceutical Council Roundtable
- 16/11/2016 - Commonwealth Members Delegation
- 06/12/2016 - Pacific Alliance Delegation
- 02/02/2017 - Mozambican Parliamentary Delegation
- 28/06/2017 - Group of States against Corruption (GRECO Council of Europe)

3.3 The Committee has also been proactive in promoting the Seven Principles of Public Life through responses to a number of consultations, including:

- September 2016: Public Administration and Constitutional Affairs Committee, Lessons Learned from the EU Referendum
- October 2016: Independent Parliamentary Standards Authority, Review of the MPs' Scheme of Business Costs, Expenses and Publication Policy
- December 2016: Public Administration and Constitutional Affairs Committee, The House of Lords: An effective second chamber?
- January 2017: Public Accounts Committee, Inquiry into the Crown Commercial Service
- March 2017: Culture, Media and Sport Select Committee, Inquiry into ‘Fake News’
- March 2017: Communities and Local Government Select Committee, Inquiry into Overview and Scrutiny in Local Government
3.4 The Secretariat regularly receives and responds to public queries and correspondence on standards issues, including requests under the Freedom of Information Act 2000.

**Online Communications**

3.5 As set out in our Strategic Plan, we will continue to ensure that we communicate our work effectively, making it visible everyone. Our [website](#), [blogsite](#) and [Twitter](#) are key ways in which we engage with stakeholders and members of the public.

3.6 Between 1 August 2016 and 30 May 2016, the Committee’s [website](#) received 106,525 visitors, and a total of 143,594 page views. The [Seven Principles of Public Life](#) page was viewed 54,625 times over this period.

3.7 We also have an active [blogsite](#), and this year we have published 11 blogs. These provide a format for shorter pieces of comment and analysis on areas of particular interest to the Committee. Between 1 August 2016 and 25 May 2017, the blog homepage received 1,239 page views, and in total all of the blog posts received 3,941 page views.

3.8 We will contribute to relevant policy debates where we can add an informed and distinctive voice, and we will engage in constructive dialogue with key stakeholders including ethical regulators.
4. Forward Plan 2017-18

4.1 As set out in the Strategic Plan, over the upcoming year we will work proactively on original research and reports, and reactively as standards issues arise in areas where the Committee maintains a watching brief.

Research and Reports

4.2 The areas in which we expect to act proactively in the coming year are:

MPs’ Outside Interests

4.3 Following a high number of detailed and thoughtful submissions from members of the public and other interested stakeholders, we will be publishing the findings of our review on MPs’ outside interests in late 2017.

4.4 The report on MPs’ outside interests will be made publicly available on our [website](#), and we will be sending a copy of the report to the [Parliamentary Commissioner for Standards](#) and the [Commons Committee on Standards](#). These bodies are responsible for reviewing the Code of Conduct for MPs.

Local Government Standards

4.5 The Committee maintains a longstanding interest in local government standards, and regularly receives correspondence from members of the public expressing their concern about this issue. We were actively conducting research and engaging with partners on this subject throughout 2016-17, and we intend to undertake a review of local government standards during 2017-18.

4.6 This review will be based around a consultation that will be launched in early 2018. Based on the submissions to this review and meetings with key stakeholders, we intend to publish our findings and recommendations in 2018.

Public Service Providers

4.7 During 2016-17, we have been undertaking research to follow-up on our 2014 report and 2015 guidance on ethical standards for providers of public services. This follow-up research has included meetings with relevant companies, government organisations and stakeholders, and a seminar with organisations representing service providers.

4.8 We will be publishing the findings from this follow-up research in late 2017, and will use this opportunity to raise awareness about the importance of ethical standards issues in the delivery of public services across all providers.

Impact of Social Media

4.9 Recognising the rapidly changing and developing nature of social and political communication and media in recent years, throughout 2017-18 we will look for opportunities to work collaboratively with partners to assess how these developments are shaping public life.
4.10 Engaging with others in this area will enable us to develop an understanding of how the changing nature of communications may influence social relations, and consider how this may influence how the Seven Principles are upheld across public life.

**Watching Brief**

4.11 We are committed to keeping abreast of standards issues before and while they are emerging, and respond as appropriate when standards issues arise. We are open to new ideas and suggestions on areas within the Committee’s remit which we may seek to consider in the future. In particular, we will keep a watching brief on the following issues:

**Party Funding**

4.12 The Committee has been actively involved in debates on the funding of political parties, and will continue to remain engaged on this issue throughout 2017-18. In particular, we seek to emphasise the importance of pursuing a package of commonly-agreed small reforms, and we will be working to encourage the political parties to come together to reach some agreement on this.

**Academies**

4.13 Following our research on public service providers, we are increasingly aware of the issues surrounding conflicts of interests and good governance in academies. Therefore, we will be keeping a watching brief on this issue over the next year.

**National Health Service**

4.14 We will also be keeping a watching brief on the NHS, where we are aware of standards issues in terms of commissioning and good governance. Building on our work on providers of public services, we will be monitoring standards issues in the NHS over the coming year.

**Conduct of Referendums**

4.15 Following our seminar on the conduct of referendums in 2016, we will continue to keep a watching brief on how standards relating to the conduct of referendums and their campaigns may be improved in any future referendums.

4.16 We will be keeping abreast of issues in this area and working with our stakeholders to see what can be done in terms of ensuring that the Seven Principles are upheld, particularly with regard ensuring honest and truthful political debate.
5. Annex A: About the Committee

Our remit

The Committee on Standards in Public Life monitors, reports and makes recommendations on all issues relating to standards in public life.

5.1 The Committee has always had a broad and wide-ranging remit. On 25 October 1994, the then Prime Minister, the Rt. Hon. John Major, announced the establishment of the Committee on Standards in Public Life with the following terms of reference:

'To examine current concerns about standards of conduct of all holders of public office, including arrangements relating to financial and commercial activities, and make recommendations as to any changes in present arrangements which might be required to ensure the highest standards of propriety in public life'.

'For these purposes, public office should include: Ministers, civil servants and advisers; Members of Parliament and UK Members of the European Parliament; Members and senior officers of all non-departmental public bodies and of national health service bodies; non-ministerial office holders; members and other senior officers of other bodies discharging publicly-funded functions; and elected members and senior officers of local authorities'.

5.2 On 12 November 1997, the Committee’s terms of reference were extended by the then Prime Minister, the Rt. Hon. Tony Blair:

'To review issues in relation to the funding of political parties, and to make recommendations as to any changes in present arrangements'.

5.3 On 5 February 2013, the Minister for the Cabinet Office clarified the Committee’s terms of reference in two respects:

‘...in future the Committee should not inquire into matters relating to the devolved legislatures and governments except with the agreement of those bodies.’

‘...the Committee’s remit to examine ‘standards of conduct of all holders of public office’ [encompasses] all those involved in the delivery of public services, not solely those appointed or elected to public office.’

5.4 Our remit does not extend to individual complaints and we have no powers to investigate individual allegations of misconduct. That is the role of the relevant regulator.

Our status

1 Hansard (HC) 25 October 1994, col 758
2 Hansard (HC) 12 November 1997, col 899
3 Hansard (HC) 5 February 2013, col 7WS
5.5 The Committee is an independent, advisory non-departmental public body (NDPB) established in 1994. The Committee is not founded in statute and has no legal powers to compel witnesses to provide evidence or to enforce its recommendations.

5.6 Our Secretariat and budget are provided by the Cabinet Office.

Our independence

5.7 To fulfil our remit effectively, it is important that we remain robustly independent of the Government that appoints us. By convention, the Committee consults the Prime Minister before starting an inquiry, and can be asked by the Prime Minister to review a specific subject. But the decision on whether to proceed is our own.

Our reports

5.8 Committee reports take different forms; they may be in the form of a Command Paper, laid before Parliament, or they may be shorter reports or guidance published on our website.

5.9 The Committee will convene seminars, roundtables, public meetings and other forms of evidence gathering on issues as appropriate.
6. Annex B: Our Membership

Our members

6.1 A majority of members, including the Chair, are independent and appointed following an open competition regulated by the Office of the Commissioner for Public Appointments (OCPA). Three political members are nominated by the Conservative, Labour and Liberal Democrat political parties.

6.2 Political Committee members are appointed for a three-year term, with the possibility of reappointment. The current four independent members were recruited for a five-year non-renewable term. The Chair is also appointed for a single non-renewable five-year term.

6.3 The Prime Minister formally appoints all Committee members.

6.4 The Chair is appointed on the basis that they will on average spend two to three days a month on Committee business. Our members are expected to commit around two days a month, for which they are remunerated on the basis of a daily fee (political members do not receive fees). Both the Chair and other members necessarily commit more days as appropriate when the Committee is engaged in an inquiry.

6.5 Committee members are appraised annually.

Chair: Lord Bew

Appointed: 1 September 2013  Term ends: 31 August 2018

Paul Bew joined Queen’s University Belfast in 1979 and was made Professor of Irish Politics in 1991. He acted as historical adviser to the Bloody Sunday Inquiry between 1998 and 2001 and was appointed as a non-party-political peer by the independent House of Lords Appointments Commission in February 2007 following his contributions to the Good Friday Agreement. In 2007 he served on the Local London Authority Bill Select Committee and in 2011 served on the Joint Committee on the Defamation Bill, which addressed key issues of academic freedom. He chaired the independent review of Key Stage 2 (SATs) provision in England which reported in 2011 and was accepted by the Government. He also served on the Joint Committee on Parliamentary Privilege which produced its report in July 2013. Lord Bew is a Visiting Professor at King’s College London. Among Lord Bew’s many publications is the Ireland volume of the Oxford History of Modern Europe.
Members active in 2016-2017

Lord Alderdice

**Appointed:** 1 September 2010  **Reappointed:** 1 September 2013  **Term ended:** 31 August 2016

John Alderdice is a fellow of the Royal College of Psychiatrists. He led the Alliance Party and was President of the European Liberal, Democrat and Reform Party and Vice President of Liberal International. He was one of the negotiators of the Good Friday Agreement. Raised to the peerage on October 1996, he took his seat on the Liberal Democrat benches in the House of Lords on 5 November that year. In 1998 Lord Alderdice was elected member for Belfast East and appointed Speaker of the Northern Ireland Assembly. In 2004 he was appointed as a Commissioner for the newly established Independent Monitoring Commission. He is currently a Senior Research Fellow and Director of the Centre for the Resolution of Intractable Conflict at Harris Manchester College, Oxford, and a Clinical Professor in the Department of Psychology at the University of Maryland. He is also the Chairman and a Director of the Centre for Democracy and Peace Building (based in Belfast) and President of ARTIS (Europe) Ltd, a research and risk analysis company.

Rt Hon Dame Margaret Beckett DBE MP

**Appointed:** 1 November 2010  **Reappointed:** 1 November 2013, 1 November 2016  **Term ends:** 31 October 2019

Margaret Beckett has been Labour MP for Derby South since 1983. She was Secretary of State for Trade and Industry 1997-1998, President of the Council and Leader of the House of Commons 1998-2001, Secretary of State for Environment, Food and Rural Affairs 2001-2006, Secretary of State for Foreign Affairs 2006-2007, and Minister for Housing and Planning 2008-2009. She has also been Chair of the Intelligence and Security Committee. Margaret was a member of the Labour National Executive Committee (1980-1981, 2012-2015) and was Chair of the Joint Committee on National Security Strategy when Parliament was prorogued in 2017.
Patricia Moberly (deceased)

**Appointed:** 17 May 2012  
**Term ended:** 1 September 2016

Patricia Moberly was Chair of Guy’s and St Thomas’ NHS Foundation Trust from 1999 to 2011. During her previous career as a schoolteacher, she worked in secondary schools in London and Zambia, and was Head of the Sixth Form at Pimlico School from 1985 to 1998. She served on the National Executive of the Anti-Apartheid Movement, was a member of Area and District Health Authorities and of the General Medical Council, a local councillor and a magistrate. Patricia worked as a prison visitor, and served on an advisory panel to the Secretary of State for Transport on drink and drug driving. Patricia sadly passed away in September 2016.

Monisha Shah

**Appointed:** 1 December 2015  
**Term ends:** 30 November 2020

Monisha is Chair of Rose Bruford College of Theatre and Performance, non-executive director of Imagen Ltd, Cambridge, and independent non-executive director of Next Mediaworks Limited, India. Monisha served as Trustee of Tate until July 2015. She was also Tate’s Liaison Trustee to the National Gallery Board from June 2013. Monisha has served on several panels as an Independent Member, including Triennial Reviews of the British Council and the British Film Institute, and the appointment panel for the Chair of the BFI. Monisha’s last executive role was with BBC Worldwide, where she worked for 10 years. Monisha is a graduate of the University of Bombay, India; she also has a post-graduate degree from SOAS, and an executive MBA from the London Business School. She was elected Young Global Leader by the World Economic Forum in February 2009.
Sheila Drew Smith OBE

**Appointed:** 17 May 2012  
**Term ends:** 1 February 2018

Sheila Drew Smith OBE is an economist by background. She was an independent assessor for public appointments (OCPA) from 1997 to 2012 and undertakes selection work in the private sector. She is the Chair of the National Approved Letting Scheme and a committee member for Safe Agents. She has been a member of various appointment panels, including the Bar Standards Board, Network Rail, RICS, and a number of other regulatory bodies. In 2015 Sheila conducted an independent review of the governance arrangements of the Independent Police Complaints Commission. She was a board member of the Housing Corporation between 2002 and 2008, the Audit Commission between 2004 and 2010, and the Infrastructure Planning Commission and the Office of the Regulator of Social Housing until March 2012. Prior to this she was a partner in the predecessor firms of PricewaterhouseCoopers working in the UK and internationally. Her earlier career was in the civil service.

Richard Thomas CBE

**Appointed:** 17 May 2012  
**Term ended:** 16 May 2017

Richard Thomas CBE LLD was the Information Commissioner from November from 2002 to 2009 and the Chairman of the Administrative Justice and Tribunals Council (AJTC) from 2009 to 2013. He is a Strategy Adviser to the Centre for Information Policy Leadership and has served as Deputy Chairman of the Consumers Association, as Trustee of the Whitehall and Industry Group, and as Board Member of the International Association of Privacy Professionals (IAPP). During his earlier career his roles included Director of Consumer Affairs at the Office of Fair Trading from 1986 to 1992 and Director of Public Policy at Clifford Chance, the international law firm, from 1992 to 2002.

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4 Sheila Drew Smith was exceptionally reappointed in May 2017 for nine months due to the need for continuity in Committee membership, and to complete the follow-up work to ethical standards for public service providers.
Dame Angela Watkinson DBE

Appointed: 30 November 2012  Term ended: 27 April 2017

After an early career in banking and a family career break, Dame Angela Watkinson worked for several local authorities in special education and central services. She served as a councillor for both the London Borough of Havering and an Essex County Council. Angela was elected as Conservative MP for Upminster in 2001 and continued to serve her enlarged constituency of Hornchurch and Upminster until standing down in the 2017 General Election. She spent most of her Parliamentary Career as a Whip and Lord Commissioner to the Treasury.

Members appointed in 2016-17

Dr Jane Martin CBE

Appointed: 1 January 2017  Term ends: 31 December 2021

Dr Jane Martin CBE took up her post from 1 January 2017 for a five-year term. She has been Chair of the Commission for Local Administration in England since April 2012. She was appointed to the post of Local Government Ombudsman (LGO) and Vice-chair of the Commission for Local Administration in England in January 2010. Her seven-year term of office at the LGO ended in January 2017. Jane has extensive knowledge and experience of public service delivery. She has worked in local authorities across England as a consultant for the Improvement and Development Agency for Local Government, and was the first Executive Director of the Centre for Public Scrutiny. Prior to joining the LGO, Jane was Deputy Chief Executive at the Local Better Regulation Office and a Non-Executive Director of Coventry Primary Care Trust.

Jane Ramsey

Appointed: 1 September 2016  Term ends: 31 August 2021

Jane Ramsey took up her post on the Committee on Standards in Public Life on 1 September 2016 for a five-year term. Jane is currently also Chair of Young Epilepsy and Chair of the Children and Young People Steering Group for Transforming Care, NHS England.

Jane was previously Chair of Cambridge University Hospitals NHS Foundation Trust. She joined the Trust in November 2012 from University College London Hospitals (UCLH) where she was Vice Chair. She has previously served on the Council of the Royal Pharmaceutical Society, the Department of Health Audit and Risk Committee, chaired a local
Housing Association and has been Head of Law for two London boroughs. She also served on the Independent Commission into regeneration and wealth creation in non-Metropolitan areas established by the Local Government Association.

**Rt Hon Lord Stunell OBE**

**Appointed:** 1 December 2016  
**Term ends:** 30 November 2019

The Rt Hon Lord Stunell OBE is a Liberal Democrat Life Peer and joined the House of Lords in October 2015. On 1 December 2016 he replaced Lord Alderdice on the Committee who previously served as the Liberal Democrat member.

Lord Stunell previously sat in the House of Commons as MP for Hazel Grove from 1997 to 2015. He was Parliamentary Under Secretary of State for Communities and Local Government from 2010 to 2012 in the coalition government. Lord Stunell has extensive experience within the Liberal Democrat party, including as Shadow Secretary of State for Communities and Local Government (2006 to 2007), Shadow Secretary of State for the Office of the Deputy Prime Minister (2006 to 2006) and Liberal Democrat Commons Chief Whip (2001 to 2006). He served as a local government councillor for 25 years from 1979.

**Research Advisory Board**

6.6 The Committee’s work is supported by a Research Advisory Board. The current Board members are:

- **Professor Cees van der Eijk**, Professor of Social Science Research Methods and Director of Social Sciences Methods and Data Institute, University of Nottingham;
- **Dr Jean Martin**, Formerly Office for National Statistics and University of Oxford;
- **Professor Mark Philp** (Chair), Professor, Director of the European History Research Centre and Director of Research, Department of History, University of Warwick; and
- **Dr Wendy Sykes**, Director of Independent Social Research Ltd and Member of the Social Research Association’s implementation group on commissioning social research.

6.7 The Committee also part-funds Esmeralda Bon, a PhD student at the University of Nottingham, through an ESRC CASE scholarship.

**Members’ attendance (1 April 2016 – 31 May 2017)**

6.8 The table below shows the total number of meetings that each member of the Committee could have attended and the number actually attended between 1 April 2016 and 31 May 2017.
<table>
<thead>
<tr>
<th>Name</th>
<th>Possible meetings</th>
<th>Meetings attended</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lord Bew</td>
<td>13</td>
<td>12</td>
</tr>
<tr>
<td>Lord Alderdice</td>
<td>4</td>
<td>4</td>
</tr>
<tr>
<td>Rt Hon Dame Margaret Beckett DBE MP</td>
<td>13</td>
<td>11</td>
</tr>
<tr>
<td>Patricia Moberly (deceased)</td>
<td>4</td>
<td>3</td>
</tr>
<tr>
<td>Richard Thomas CBE</td>
<td>13</td>
<td>11</td>
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<tr>
<td>Jane Ramsey</td>
<td>8</td>
<td>8</td>
</tr>
<tr>
<td>Sheila Drew Smith OBE</td>
<td>13</td>
<td>12</td>
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<tr>
<td>Dame Angela Watkinson DBE 5</td>
<td>12</td>
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<tr>
<td>Dr Jane Martin CBE</td>
<td>5</td>
<td>4</td>
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<tr>
<td>Monisha Shah</td>
<td>13</td>
<td>11</td>
</tr>
<tr>
<td>Rt Hon Lord Stunell OBE</td>
<td>6</td>
<td>6</td>
</tr>
</tbody>
</table>

6.9 In addition to monthly Committee meetings, members attend a variety of other meetings and briefings in relation to the business of the Committee.

**Remuneration**

6.10 Independent Committee members who do not already receive a salary from public funds for the days in question may claim £240 for each day they work on committee business. The Chair is paid on the basis of a non-pensionable salary of £500 per day, with the expectation that they should commit an average of 2–3 days a month, although this can increase significantly during Committee inquiries. All independent members are reimbursed for expenses necessarily incurred.

6.11 For the period 1 April 2016 to 1 March 2017, committee members other than the Chair claimed a total of £26,306 in fees and expenses. In total, the Chair claimed £26,090 in fees and expenses.

5 Dame Angela was on a leave of absence from the Committee between May 2016 and March 2017.

7.1 On 18 September 2012, the Minister for the Cabinet Office announced the Triennial Review of the Committee on Standards in Public Life. The Review confirmed the continuing need for the Committee as a non-departmental public body, but suggested a number of changes to the remit of the Committee and its way of working.

Main recommendations of the Triennial Review

7.2 The CSPL should be more strategic by reviewing systems, and should avoid overlapping with the work of sectoral regulators.

7.3 The Committee should be bolder in picking topics, looking ahead to emerging problems, rather than reacting to scandals and allegations of ethical abuses which have already emerged.

7.4 The Committee should keep a watching brief on broader ethical issues and maintain oversight, bringing together regulators and interested parties. But it should not either comment on the day-to-day work of regulators or see one of its objectives as improving public confidence or trust in public bodies or holders of public office.

7.5 The terms of reference of the Committee about devolved issues need to be clarified to say that the we will not investigate ethical matters involving the devolved bodies unless asked to do so.

7.6 The remit of the Committee needs to be reviewed to define more clearly the scope of the term ‘holders of public office’ in the light of the changing role of the state and its relations with outside organisations.

7.7 The Committee’s working methods need to be revised, to sharpen them up, to adjust to changes in the media, and to operate within a reduced budget, as has begun to happen recently. Consequently, the biennial research into public attitudes should cease and public hearings should be reduced sharply and used sparingly.

Impact of the Triennial Review and the Committee’s Response

7.8 The Triennial Review’s recommendations sharply altered the Committee’s pattern of working, its resources and gathering of direct evidence. In particular, the Review suggested that the biennial surveys should cease, but that savings as a result of no longer undertaking biennial surveys ‘should not be used to reduce the Committee’s budget further’. And ‘resources saved within the existing budget from abandoning opinion research and hearings in the devolved capitals could be shifted to fact-finding’.

7.9 In practice, the intention of using the saving of the surveys to boost the Secretariat did not happen, and the Committee in 2016/17 operated with a budget at around 60% less than that of 2013. However, the Committee took these recommendations on board and has moved forward in accordance with the Review’s conclusions.

7.10 When considering which inquiry we should undertake, we consider the emerging political and social landscape and then take a reasoned, consensus view on the evidence available at the time. Since the publication of the report of the Review, we have produced reports on
7.11 We continue to maintain a watching brief on ethical standards across the public sector, but we refrain from commenting on the day-to-day work of regulators unless the circumstances are such where there is value in our intervening. We also regularly produce blogs helping to communicate our views.

7.12 In accordance with the Triennial Review’s recommendation, we have ceased our biennial research programme into public attitudes toward conduct in public life, saving some £50,000 per survey. The last report based on our survey of public attitudes toward conduct in public life was undertaken in 2012. The Triennial Review also stated that ‘the end of the biennial surveys should not prevent the committee from commissioning other external research where it cannot do the work itself’. We do therefore, look to work with other partners where we can, for example, in March 2015 we published a survey using data collected as part of the Hansard Society’s Audit of Political Engagement, and have submitted research questions as part of panel surveys conducted by others. For example, the Committee has regularly inputted questions to the British Election Study on public attitudes to party funding.

7.13 The Committee accepts that its work cannot be viewed simply in terms of increasing public confidence and trust in public bodies or holders of public office. The Committee’s own research shows that the issue of trust is complex and that headline findings often do not capture the complex relationships that people have with the institutions of the modern state, nor do they reflect the important improvements that have occurred in many areas of the public sector. As the Triennial Review recognised, valuing ethical standards is important for good governance even where public trust is unlikely to be directly affected by such matters. The Committee believes that even though levels of trust among the general public may have declined over the long term, our work and successive reforms have set important benchmarks across public life.

7.14 In accordance with the Triennial Review’s recommendation our remit now extends only to England. We no longer review ethical standards in the Devolved Administrations, and will not investigate ethical matters involving the devolved bodies unless asked to do so. Should we be invited to consider an ethical standards issue in one of the Devolved Administrations, we would have to consider any resourcing issues that would create, given our current budget limitations.

7.15 We have addressed the extension of our remit to those providing public services, as evidenced by our 2014 report Ethical Standards for Public Service Providers and 2015 guidance.
8. Annex D: Financial Information

<table>
<thead>
<tr>
<th>Expenditure</th>
<th>2015-2016 (£)</th>
<th>2016-2017 (£)</th>
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</thead>
<tbody>
<tr>
<td>Staff costs and fees</td>
<td>218,009</td>
<td>197,766</td>
</tr>
<tr>
<td>Other running costs</td>
<td>85,423</td>
<td>69,602</td>
</tr>
<tr>
<td>Total net expenditure</td>
<td>303,432</td>
<td>267,368</td>
</tr>
</tbody>
</table>

8.1 As an advisory Non-Departmental Public Body (NDPB), the Committee receives its delegated budget from the Cabinet Office. Day-to-day responsibility for financial controls and budgetary mechanisms are delegated to the Secretary of the Committee including responsibility for certain levels of authorisation and methods of control. Creation of all new posts and the use of external resources are subject to the approval of the Cabinet Office Approvals Board.

8.2 The Secretary and the rest of the Secretariat are permanent civil servants employed by the Cabinet Office or on secondment from other departments.

8.3 Whilst the core Secretariat has been reduced to four, the Secretary can and has used the budget to buy-in additional time limited resource to service specific inquiries and reviews. This level of resource necessarily constrains the choices the Committee makes in relation to its work programme and, together with the time taken to secure approvals, may affect its ability to respond quickly and comprehensively to standards issues as they emerge.

8.4 The Secretary to the Committee is responsible for setting out the outputs and outcomes which the Committee plans to deliver with the resources for which they have delegated authority, and for reporting regularly on resource usage and success in delivering those plans. The Secretary is also responsible for maintaining a robust system of internal control over the resources for which she has delegated authority, and for providing the accounting officer with assurances that those controls are effective.

8.5 For the financial year 2016-17, the Committee’s budget was £283,682, with an underspend of £16,314. This underspend was due Secretarial staff vacancies and a delayed work programme due to the 2017 pre-election period.

9.1 The Committee has published the following reports:

- Striking the Balance - Upholding the Seven Principles of Public Life in Regulation - (Cm 9327) (September 2016)
- Tone from the top - leadership ethics and accountability in policing (Cm 9057) (June 2015)
- Ethics in Practice: Promoting Ethical Standards in Public Life (July 2014)
- Ethical standards for providers of public services (June 2014)
- Strengthening transparency around lobbying (November 2013)
- Standards matter: A review of best practice in promoting good behaviour in public life (Cm 8519) (January 2013)
- Political Party Finance - Ending the big donor culture (Cm 8208) (November 2011)
- MPs’ Expenses and Allowances: Supporting Parliament, Safeguarding the Taxpayer (Cm 7724) (November 2009)
- Review of the Electoral Commission (Cm 7006) (January 2007)
- Getting the Balance Right: Implementing Standards of Conduct in Public Life (Cm 6407) (January 2005)
- Defining the Boundaries within the Executive: Ministers, Special Advisers and the permanent Civil Service (Cm 5775) (April 2003)
- Standards of Conduct in the House of Commons (Cm 5663) (November 2002)
- The First Seven Reports - A Review of Progress - a stock-take of the action taken on each of the 308 recommendations made in the Committee's seven reports since 1994 (September 2001)
- Standards of Conduct in the House of Lords (Cm 4903) (November 2000)
- Reinforcing Standards (Cm 4557) (January 2000)
- The Funding of Political Parties in the United Kingdom (Cm 4057) (October 1998)
- Review of Standards of Conduct in Executive Non-Departmental Public Bodies (NDPBs), NHS Trusts and Local Public Spending Bodies (November 1997)
- Standards of Conduct in Local Government in England, Scotland and Wales (Cm 3702) (July 1997)
- Local Public Spending Bodies (Second Report (Cm 3270) (June 1996)
- Standards in Public Life (First Report (Cm 2850)) (May 1995)

9.2 Between 2004 and 2012 the Committee also undertook biennial surveys of public attitudes towards conduct in public life. Findings were published in 2004, 2006, 2008, 2011 and 2013
Standards Matter: Strategic Plan, Annual Report 2016-17 and Forward Plan 2017-18
Published by the Committee on Standards in Public Life

The Committee on Standards in Public Life
Room GC05
1 Horse Guards Road
London SW1A 2HQ

Tel: 020 7271 2948

Internet: https://www.gov.uk/government/organisations/the-committee-on-standards-in-public-life
Email: public@public-standards.gov.uk

July 2017
**This is a working document, and subject to change.**

<table>
<thead>
<tr>
<th>Meeting date</th>
<th>Item</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>September/October/November</td>
<td>Annual Report and Work Plan of the Committee on Standards in Public Life</td>
<td>As requested by the Monitoring Officer</td>
</tr>
<tr>
<td>February/March/April</td>
<td>Review the GMCA Annual Governance Statement</td>
<td>Prior to Audit Cttee in April</td>
</tr>
<tr>
<td></td>
<td>Review the Code of Corporate Governance</td>
<td>Prior to Audit Cttee in April</td>
</tr>
<tr>
<td>September/October/November</td>
<td>Review the GMCA Code of Conduct</td>
<td>Prior to the review of the GMCA Constitution</td>
</tr>
<tr>
<td></td>
<td>Review the GMCA Whistle Blowing Policy</td>
<td>Prior to the review of the GMCA Constitution</td>
</tr>
</tbody>
</table>